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• Phase?

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- Phase?
- Changed places?

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- **e** entirely similar?

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where  $\mathbf{r} = (r_x, r_y, r_z)$  and  $\mathbf{p} = (p_x, p_y, p_z)$ . (1)

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\pi_{1N}(s) := ((r, p)_N, ..., (r, p)_1).
$$
 (2)

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Interpretations:

- Permutation of particle labels?
- Permutation of particles among the states?
- *Permutation of states over particles.*



Figure: Two states related by a permutation. In each case, there is one particle with  $(r, p) = (1, -1)$  and another with  $(2, 1)$  and yet the states are represented by two distinct points in the phase space. The black dot depicts the particle represented by the first factor position and the white dot depicts the particle represented by the second factor position.

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## 'Indistinguishability'

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#### • Are the states represented by  $\pi_{ij}(s)$  and *s*, in some sense, equivalent?

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- **o** indistinguishable?
- **o** statistical method?

### Two Positions

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**•** The particles share all state-independent properties.

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Regard the phases as representing distinct states of affairs:

- **•** The particles share all state-independent properties.
- What should we do in this case? Is representational redundancy worrying?

Regard the phases as representing distinct states of affairs:

**If you think trajectories play a role in distinguishing the particles.** 

# Why is this important?

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What harm can representational redundancy do?

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- When we start putting probability measures on the phase space.
- **If phase space over-represents, the probability measure over-counts.**
- We use probability measures to calculate expectation values of physical quantities.
- Relatedly (less obvious) this over-counting apparently leads to a non-extensive entropy function in statistical mechanics.

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**•** 6*N*-dimensional phase space *M* fine-grained into cells of volume  $τ$ .

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- Coarse-grained into energy levels  $\epsilon_s$  such that  $N_s$  particles are in energy interval  $[\epsilon_s, \epsilon_s + \Delta \epsilon_s]$  and  $\sum N_s = N$  and  $\sum N_s \epsilon_s = E$ .

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• Now we count the microstates.

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#### Non-extensive entropy

$$
W_B = \frac{N!}{N_1! \dots N_s! \dots} \prod_s C_s^{N_s} \tau
$$

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Take the logarithm and apply Stirling's approximation:  $\ln x! \approx x \ln x - x$ for large *x*. Then the entropy reads:

$$
S = kN \ln N + \dots
$$

Because of this first term, the entropy is clearly not extensive. Divide *W* by *N*!? But what justification?

## The Orthodox Solution: Quantum Statistics

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## The Orthodox Solution: Quantum Statistics

Bose-Einstein Statistics:

$$
W_{BE} = \prod_{s} \frac{(N_s + C_s - 1)!}{N_s!(C_s - 1)!} \tag{3}
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W_{FD} = \prod_{s} \frac{C_s!}{N_s!(C_s - N_s)!}
$$
(4)

In the 'classical limit'  $C_s \gg N_s$ 

$$
W_{\text{FD and BE}} \approx \prod_{s} \frac{C_{s}^{N_{s}}}{N_{s}!} = W_{B}/N!
$$
 (5)

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*"It is not possible to understand classically why we must divide [. . . ] by N*! *to obtain the correct counting of states. The reason is inherently quantum mechanical. Quantum mechanically, atoms are inherently indistinguishable in the following sense: A state of the gas is described by an N-particle wave function, which is either symmetric or antisymmetric with respect to the interchange of any two particles. A permutation of the particles can at most change the wave function by sign, and it does not produce a new state of the system. Hence we should divide [. . . ] by N*!*. This rule of counting is known as the "correct Boltzmann counting". It is something that we must append to classical mechanics in order to get right answers." (Huang 1963)*

*"So long as we are dealing with classical systems, the N*! *microsituations are in fact di*ff*erent and can be distinguished from one another. In quantum mechanical systems, however, we can no longer distinguish them. This is certainly due to the fact that we cannot follow the atoms so closely along their orbits that we can, for instance, know which atom is which after a collision. This again is a consequence of Heisenberg's famous relations." (Ter Haar 1954)*

# Taking Stock

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Þ  $\rightarrow$   $\rightarrow$   $\rightarrow$  Driving the orthodox solution is the assumption that classical particles are distinguishable and quantum particles are indistinguishable.

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- Driving the orthodox solution is the assumption that classical particles are distinguishable and quantum particles are indistinguishable.
- Should we regard Gibbs' Paradox as some kind of Kuhnian anomaly? A problem only quantum theory can resolve?

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- Should we regard Gibbs' Paradox as some kind of Kuhnian anomaly? A problem only quantum theory can resolve?
- Or can it (should it?) be solved purely classically?

- Driving the orthodox solution is the assumption that classical particles are distinguishable and quantum particles are indistinguishable.
- **•** Should we regard Gibbs' Paradox as some kind of Kuhnian anomaly? A problem only quantum theory can resolve?
- Or can it (should it?) be solved purely classically?
- **In** Is there any structural feature of the problem which tells us which option to take?

## Dieks' Classical Particle Distinguishability

*[C]lassical particles can be named and distinguished by their different histories. A process in which two classical particles of the same kind are interchanged can therefore certainly produce a different microstate. Indeed, imagine a situation in which there is one particle at position [r*1*] and one particle at position [r*2*], and in which at a later instant there is again one particle at [r*1*] and one at [r*2*]; suppose that their respective momenta are the same as before. What has happened in the meantime? There are two possibilities: either the particle that was first at [r*1*] is later again at [r*1*] and the particle that was first at [r*2*] is later again at [r*2*], or the particles have exchanged their positions. The latter case would clearly be di*ff*erent from the former one: it corresponds to a di*ff*erent physical process. Although it is true that the two final situations cannot be distinguished on the basis of their instantaneous properties, their di*ff*erent histories show that the particle at [r*1*] in one final situation is not the same as the particle at [r*1*] in the other final situation. (Dieks 2013)*

Gibbs' Paradox and Indistinguishability February 9, 2023 33 / 46

# $Trajectories = Distinguishability$



Figure: (a) is the initial state of a system consisting of two particles in a container. The arrows indicate their velocities. States (b) and (c) are the final states of particles after they follow trajectories which lead them to swapping and not swapping, respectively. The black dot depicts the particle represented by the first factor position and the white dot depicts the particle represented by the second factor position.

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$$
\varphi_{\neg \text{swap}} : s \mapsto s \tag{6}
$$
  

$$
\varphi_{\text{swap}} : s \mapsto \pi_{12}(s) \tag{7}
$$

where  $\varphi_t : M \to M$  is phase flow.

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where  $\varphi_t : M \to M$  is phase flow. Each phase flow is generated by the corresponding Hamiltonian *h*swap and *h¬*swap. Therefore, the correct conclusion is that the phase point *s* in the phase space of dynamical system  $\langle M, \omega, h^{-swap} \rangle$  is a different state from  $\pi_{12}(s)$  in the phase space of dynamical system  $\langle M, \omega, h^{\text{swap}} \rangle$ .

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#### Saunders Classical Particle Indistinguishability

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**•** Remove representational redundancy

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- Remove representational redundancy
- If the particles are identical (they share all intrinsic properties like charge, mass and spin), then the states  $\pi_{ii}(s)$  and *s* (for  $1 \le i, j \le N$ ) represent one and the same physical state.

#### • Remove representational redundancy

- **If the particles are identical (they share all intrinsic properties like** charge, mass and spin), then the states  $\pi_{ii}(s)$  and *s* (for  $1 \le i, j \le N$ ) represent one and the same physical state.
- **•** Remove this representational redundancy by passing to the reduced phase space,  $M' = M/S_N$ : the quotient of the phase space under the action of  $S_N$ , the permutation group. Points  $s \in M'$  may be understood as equivalence classes [*s*] each containing *N*! elements all related to the  $s \in M$  by the permutations  $\pi \in S_N$ .

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Saunders seems to be offering us a purely classical way of justifying the *N*! factor.

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- **•** Saunders seems to be offering us a purely classical way of justifying the *N*! factor.
- **•** But isn't it puzzling that we are considering Gibbs' paradox from the Boltzmann approach?

- <span id="page-62-0"></span>• Saunders seems to be offering us a purely classical way of justifying the *N*! factor.
- But isn't it puzzling that we are considering Gibbs' paradox from the Boltzmann approach?
- And isn't it funny that sometimes the *N*! is talked of as if it is a 'correction factor'? Can't it be rigorously derived?

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Consider a system which flips a red coin (*R*) and a green coin (*G*) each of which can land Heads (*H*) or Tails (*T*). Suppose an observer of this system is colourblind. Then the set of physical states is

*M* = *{*(*GH, RH*)*,*(*GT, RH*)*,*(*GH, RT*)*,*(*GT, RT*)*}*

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Lessons:

When we observe a physical system we are gathering relative frequencies of elements of the sample space  $Ω$ .

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Lessons:

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- Probability measures are defined on sample spaces which, I argue, are constrained by observables.

<span id="page-68-0"></span>Consider a system which flips a red coin (*R*) and a green coin (*G*) each of which can land Heads (*H*) or Tails (*T*). Suppose an observer of this system is colourblind. Then the set of physical states is

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Lessons:

- When we observe a physical system we are gathering relative frequencies of elements of the sample space  $Ω$ .
- Probability measures are defined on sample spaces which, I argue, are constrained by observables.

So:

We must view the space which 'carries' the probability measure in statistical mechanics as a sample space, not [t](#page-67-0)h[e](#page-69-0) [p](#page-62-0)[h](#page-63-0)[a](#page-68-0)[s](#page-69-0)e [spa](#page-101-0)c[e.](#page-101-0)

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## <span id="page-69-0"></span>Should we quotient?

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Goal of quotienting, typically construed: construct a space containing all and only the physically distinct states.

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- Goal of quotienting, typically construed: construct a space containing all and only the physically distinct states.
- Our goal in statistical mechanics: construct a space containing all and only observationally distinct states.
- **If we do quotient, it is with respect to the equivalence relation "... is** observationally equivalent to..."
- **If** we quotient with this equivalence relation, we do not end up with a state space, but rather a sample space.

### Observational Indistinguishability

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Observational Indistinguishability

States *s* and *s*′ in *M* are *observationally indistinguishable* with respect to a set of observables  $\{f_i\}$  if and only if  $f_i(s) = f_i(s')$  for all  $f_i$ .

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- This is a definition-schema. Depends on *{fi}*.
- $\bullet$  Use this notion to construct the sample space  $\Omega$  from the state space *M*.

## Dynamical Indistinguishability

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# Dynamical Indistinguishability

Consider the case where  $\{f_i\} = \{h\}.$ 

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Consider the case where  ${f_i} = {h}$ .

#### Dynamical Indistinguishability

*s* and  $\pi(s)$  are  $\pi$ -dynamically indistinguishable iff  $h(s) = h(\pi(s))$ 

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#### Dynamical Indistinguishability

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Can show that *s* and  $\pi(s)$  are dynamically indistinguishable for all *s* iff  $\pi$ leaves the hamiltonian phase flow invariant.

Consider the case where  ${f_i} = {h}.$ 

#### Dynamical Indistinguishability

*s* and  $\pi(s)$  are  $\pi$ -dynamically indistinguishable iff  $h(s) = h(\pi(s))$ 

Can show that *s* and  $\pi(s)$  are dynamically indistinguishable for all *s* iff  $\pi$ leaves the hamiltonian phase flow invariant.

### Dynamical Indistinguishability

Two particles of an *N* particle system, represented by factor positions *i* and *j* in the *N* tuple, are *dynamically indistinguishable* if and only if the Hamiltonian *h* of the system satisfies  $h(s) = h(\pi_{ii}(s))$  for all states *s*.

Consider the permutation symmetries of the following Hamiltonians:

• 
$$
h_1 = (p_1^2 + p_2^2)/2m
$$
;  
\n•  $h_2 = (p_1^2 + p_2^2 + p_3^2 + p_4^2)/2m + ke^2/|r_{13}|$ ;

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\n- $$
h_1 = \frac{p_1^2 + p_2^2}{2m}
$$
\n- $h_2 = \frac{p_1^2 + p_2^2 + p_3^2 + p_4^2}{2m + ke^2 / |r_{13}|}$
\n- $h_2(s) = \frac{h_2(\pi_{13}(s))}{2m} \text{ and } \frac{h_2(s)}{2m} = \frac{h_2(\pi_{24}(s))}{2m} \text{ for all } s$
\n- $B$  but  $\frac{h_2(s)}{2m} \neq \frac{h_3(\pi_{23}(s))}{2m} \text{ and } \frac{h_2(s)}{2m} \neq \frac{h_3(\pi_{14}(s))}{2m}$
\n

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Gibbs invites us to

*imagine a great number of systems of the same nature, but di*ff*ering in the configurations and velocities which they have at a given instant. (Gibbs 1902)*

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*imagine a great number of systems of the same nature, but di*ff*ering in the configurations and velocities which they have at a given instant. (Gibbs 1902)*

This collection of systems is known as the *ensemble* and it is this that is the object of study in Gibbs' statistical mechanics:

*And here we may set the problem, not to follow a particular system through its succession of configurations, but to determine how the whole number of systems will be distributed among the various conceivable configurations and velocities at any required time, when the distribution has been given for some one time. (Gibbs 1902)*

**•** Let N be the number of copies of the system in the statistical ensemble: the number of observationally distinct 'ways the system can be'.

### Gibbs' Statistical Mechanics

- **•** Let  $N$  be the number of copies of the system in the statistical ensemble: the number of observationally distinct 'ways the system can be'.
- **•** The number of systems in a region R of phase space is

$$
n(R) := \int_{R} D(p,q) dp_1...dq_n.
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 (8)

where *D*(*p, q*) is the *density-in-phase*.

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Gibbs defines the measure of a region *R* ⊆ *M*

$$
\mu(R) := \int_R P(p,q) \, dp_1...dq_n. \tag{9}
$$

where  $P(p,q) := D(p,q)/N$ .

### Gibbs' Statistical Mechanics

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Gibbs defines the measure of a region *R* ⊆ *M*

$$
\mu(R) := \int_R P(p,q) \, dp_1...dq_n. \tag{9}
$$

where  $P(p,q) := D(p,q)/N$ .

•  $\mu$  is a probability measure on *M* if  $\mathcal{N} = n(M)$ .

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- $\bullet$  So  $\mathcal{P}(R) = \mu(R)/N!$ .